



ldb.com

1st Discount Brokerage, Inc.

Member FINRA-SIPC

# CORPORATION ACCOUNT:

(Cash Accounts Only – Limited Authority)

To be completed if Customer is a Corporation.

Account Number: \_\_\_\_\_

I HEREBY CERTIFY that at a meeting, duly called, of the Board of Directors of

\_\_\_\_\_ a corporation formed under the laws of \_\_\_\_\_, at which said meeting a quorum was present and acting throughout, the following preamble and resolution was adopted and ever since has been and now is in full force and effect: WHEREAS this Corporation is duly authorized and permitted by its Charter and By-Laws to deal in stocks, bonds, and other securities; NOW THEREFORE BE IT RESOLVED that this Corporation open an account or accounts in its name with my introducing firm ("the Introducing Firm"), to be carried by Apex Clearing Corporation ("Clearing Firm"), and that the President, Vice President, Treasurer, other officers and \_\_\_\_\_, or any one of them or their successors in office, individually or jointly, may, on behalf of this Corporation, (1) give orders in the said account or accounts for the purchase, sale or other disposition of stocks, bonds, and other securities, (2) instruct, deliver to, or receive from Introducing Firm or Clearing Firm monies, stocks, bonds, and other securities, (3) sign acknowledgements of the correctness of all statements of accounts and (4) make, execute and deliver under the corporate authority and seal any and all written endorsements and documents necessary or proper to effectuate the authority hereby conferred.

This Authorization and its enforcement shall be governed by the laws of the State of Texas, shall cover individually and collectively all accounts covered by this agreement and authorization which the undersigned may open or reopen with you, and shall insure to the benefit of the Introducing Firm's and the Clearing Broker's present organization, and any successor organization, irrespective of any change or changes of any kind of the personnel thereof for any cause whatsoever, and of the assigns of the Introducing Firm's and the Clearing Broker's present organization or any successor organization.

Very Truly Yours,

\_\_\_\_\_  
*Authorized Officer's Signature* *Date*

\_\_\_\_\_  
*Authorized Officer's Printed Name* *Title*

I, \_\_\_\_\_, the undersigned Secretary of the Corporation (or equivalent officer), hereby certify that each of the Officers of the customer entity has been duly elected and is now legally holding the office set forth in the undersigned's New Account Application & Agreement.

IN WITNESS WHEREOF, I, the Secretary of the Customer, on behalf of the Customer, have hereunto affixed my hand of said Corporation the \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
*Secretary's Signature (Secretary of Customer, a Corporation)* *Secretary's Printed Name*

**(THIS CERTIFICATE MUST BE EXECUTED BY AN OFFICER OTHER THAN ONE AUTHORIZED TO ACT)**