1st Discount Brokerage, Inc.
1db.com
Member FINRA·SIPC

PENNY FOR THE LOT

BROKER INSTRUCTION & ACKNOWLEDGEMENT

ACCOUNT NO.	

The undersigned broker-dealer ("Correspondent") hereby instructs Apex Clearing Corporation ("Apex") to sell the security(ies) from the customer account(s), as indicated in the attached Penny for the Lot Trex file, to Apex for one penny for each lot. As a result of this sale, nether Correspondent nor its customer(s) will have any further claim to the securities. Apex makes no representations or predictions concerning the current or future value of the securities.

Correspondent has advised its customer(s) to check with his or her tax advisor to confirm that this course of action will produce no unintended results to his or her tax return. **In the case that the position is held in physical format, you have obtained and forwarded a completed stock power form you customer to process this request, in addition to the Penny for the Lot Customer Instruction & Acknowledgement.**

Please fill out the Penny for the Lot Trex file combined with this signed form and send to SecurityMaster@apexclearing.com. *(To obtain the Penny for the Lot Trex file, please contact SecurityMaster@apexclearing.com)*

Correspondent, at its customer(s) instruction, hereby instructs Apex to sell from the customer(s) securities listed in the Penny for Lot Trex file, and requests that Apex purchase the securities at a purchase price of one penny ($0.01). Correspondent represents that the authorized signers below are duly licensed and have the appropriate authorization to make this instruction to Apex.

Correspondent further agrees to indemnify and hold Apex and its officers, directors, employees, affiliates, agents, successors, and assigns harmless from and against any and all claims, demands, caused of action, obligations and liabilities of any kind which arise out of, or are in any manner whatsoever connected with or related to this sale.

Correspondent Name: _____

_____

Authorized Signer's Printed Name *Authorized Signer's Signature* *Date*

_____

Second Authorized Signer's Printed Name *Second Authorized Signer's Signature* *Date*