1st Discount Brokerage, Inc.
1db.com
Member FINRA·SIPC

# PENNY FOR THE LOT

## CUSTOMER INSTRUCTION & ACKNOWLEDGEMENT

Please fill, sign & return to your broker.

| ACCOUNT NO. | |
|---|---|

You hereby instruct Apex Clearing Corporation ("Apex") and your introducing broker-dealer to sell the security(ies) from your account to Apex for one penny for the lot. As a result of this sale, you will have no further claim to the securities, and Apex makes no claims or predictions concerning the future value of the security.

Positions held in physical form (identified as being held in account type 'L' on your statement) require a completed stock power in order to be processed. Please discuss with your introducing broker-dealer if you have any questions. Please use additional pages if necessary.

| Number of Shares | Security Description | Symbol/CUSIP |
|---|---|---|
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The undersigned hereby agree(s) to sell to Apex, and request(s) that Apex purchase the securities described above in the amounts stated for a purchase price of one penny ($0.01). The undersigned represents(s) that he/she/they is/are the owner of the securities described above in the amounts stated. The undersigned is advised to check with his/her/their tax advisor to confirm this course of action will produce no unintended results to his/her/their tax return(s).

The undersigned further agrees to hold Apex harmless from and against any and all claims, demands, caused of action, obligations and liabilities of any kind which arise out of, or are in any manner whatsoever connected with or related to this sale.

*Shareholder's Printed Name* *Shareholder's Signature* *Date*

*Joint Shareholder's Printed Name* *Joint Shareholder's Signature* *Date*